

**CITIZEN’S ETHICS ADVISORY BOARD
OF THE OFFICE OF STATE ETHICS
18-20 Trinity Street, Hartford, CT 06106-1660**

MINUTES OF THE MEETING OF NOVEMBER 21, 2013

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, November 21, 2013, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chairman Charles Chiusano called the meeting to order at 1:05 p.m.

The following members of the Board were present:

- Charles F. Chiusano, Chairman
- Herbert A. Grant, Vice-Chairman
- Mary Bigelow, Member
- Susan Gruen, Member
- Roger Kemp, Member
- Dennis J. Riley, Member
- Daniel Young, Member (Participated via teleconference for portions of the meeting. See Item #3a.)
- Rev. Tommie Jackson, Member (Joined the meeting via teleconference. See Item #3b.)

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas K. Jones, Ethics Enforcement Officer
- Nancy Nicolescu, Director of Education and Communications
- Brian O’Dowd, Deputy General Counsel
- Cynthia Isales, Assistant General Counsel
- Peter Lewandowski, Assistant General Counsel
- Marc W. Crayton, Assistant Ethics Enforcement Officer
- Iris E. D’Oleo-Edwin, Clerk of the Board

The following topics were addressed during the meeting:

1. The minutes of the October 24, 2013 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Grant, seconded by Ms. Bigelow, the Board voted seven (7) to zero (0) to approve the minutes of the October 24, 2013 Regular Meeting.

2. **On the motion of Mr. Kemp, seconded by Ms. Bigelow, the Board voted seven (7) to zero (0) to move into Closed Session to discuss the status of a matter that is confidential pursuant to Conn. Gen. Stat. § 1- 82a. Mr. Young recused himself and left the meeting.**

The following individuals attended the Closed Session:

- Charles F. Chiusano
- Herbert A. Grant
- Mary Bigelow
- Susan Gruen
- Roger Kemp
- Dennis J. Riley
- Carol Carson
- Barbara Housen
- Thomas K. Jones
- Nancy Nicolescu
- Brian O'Dowd
- Marc Crayton
- Cynthia Isales
- Peter Lewandowski
- Iris E. D'Oleo-Edwin

3. **On the motion of Mr. Kemp, seconded by Ms. Bigelow, the Board voted six (6) to zero (0) to come out of Closed Session.**

- a) Board member Young returned to the meeting via teleconference.
- b) Board member Jackson joined the meeting via teleconference.

4. Chairman Chiusano presented the Chairman's report. He addressed the Executive Director's Annual evaluation (Item #3 of the Agenda), and solicited input from the Board members.
5. Board member Riley reported on the Executive Administrator position open at the Office of Governmental Accountability.
6. Mr. O'Dowd presented the Consideration of Petition for Advisory Opinion from Dr. Pamela Trotman Reid, President of University of Saint Joseph.

On the motion of Mr. Riley, seconded by Mr. Kemp, the Board voted eight (8) to zero (0) to grant the Petition for Advisory Opinion from Dr. Trotman Reid.

7. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He reported that the Division has concluded the UAPA initiatives for the rest of year. He also reported that the October 30, 2013 confidential probable cause hearing has been continued to November 26, 2013, and on the need to empanel the Board within 30 days if probable cause is found by the Judge Trial Referee.
8. Ethics Enforcement Officer Jones presented the audit reports for AAA Southern New England and Brown Rudnick Government Relations Strategies, LP.

On the motion of Mr. Riley, seconded by Mr. Kemp, the Board voted eight (8) to zero (0) to approve the audit reports for AAA Southern New England and Brown Rudnick Government Relations Strategies, LP.

9. Executive Director Carson presented the Executive Director's Report.
10. Director of Communications Nicolescu conducted the Annual Ethics Training for the Board. The OSE staff was trained as well.
11. Director of Communications Nicolescu presented the Education and Media Summary.
12. Executive Director Carson presented a revised package of the legislative proposals recommended for the 2014 Legislative Session. The Board discussed the proposals (Part I and Part II).

On the motion of Mr. Riley, seconded by Mr. Grant, the Board voted eight (8) to zero (0) to remove Proposal #1 of Part I (Limit respondent attorney's fees under the Code of Ethics for Public Officials) from the Legislative Proposals for the 2014 Legislative Session.

On the motion of Mr. Riley, seconded by Mr. Grant, the Board voted eight (8) to zero (0) to approve Proposals #2 and #3 of Part I of the Legislative Proposals for the 2014 Legislative Session. The Board voted unanimously to approve Proposal #4 with the likelihood of delaying its implementation.

On the motion of Mr. Riley, seconded by Mr. Grant, the Board voted seven (7) to zero (0) to approve Part II of the Legislative Proposals for the 2014 Legislative Session (Proposal to Place Municipal Ethics and Lobbying under the Jurisdiction of the Office of State Ethics). Ms. Gruen recused herself from the discussion and vote.

13. Chairman Chiusano presented the opportunity for public comments. There were no public comments.
14. Chairman Chiusano presented the 2014 UAPA Hearing Dates and the 2014 CEAB Regular Meeting Dates.
15. **On the motion of Mr. Riley, seconded by Ms. Bigelow, the Board voted eight (8) to zero (0) to move back into Closed Session to resume discussion of a matter that is confidential pursuant to Conn. Gen. Stat. § 1- 82a. Mr. Young and Mr. Jackson recused themselves and left the meeting.**

The following individuals attended the Closed Session:

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|-----------------------|------------------------|
| • Charles F. Chiusano | • Barbara Housen |
| • Herbert A. Grant | • Brian O'Dowd |
| • Mary Bigelow | • Marc Crayton |
| • Susan Gruen | • Cynthia Isales |
| • Roger Kemp | • Peter Lewandowski |
| • Dennis J. Riley | • Iris E. D'Oleo-Edwin |
| • Carol Carson | |

16. **On the motion of Mr. Kemp, seconded by Mr. Riley, the Board voted six (6) to zero (0) to come out of Closed Session.**
17. **On the Motion of Mr. Grant, seconded by Mr. Riley, the Board voted six (6) to zero (0) to adjourn the meeting at 3:42 p.m.**

Respectfully submitted by,

Iris E. D'Oleo-Edwin, Clerk
Citizen's Ethics Advisory Board

Date approved
(By the Citizen's Ethics Advisory)